
State: District of Columbia **First Filing Company:** Great Northern Insurance Company, ...
TOI/Sub-TOI: 17.1 Other Liability-Occ Only/17.1020 Commercial Umbrella and Excess
Product Name: 19-XS-2017438 (XS)
Project Name/Number: Financial Institution Endorsements /19-XS-2017438 (XS)

Filing at a Glance

Companies: Great Northern Insurance Company
Pacific Indemnity Company
Federal Insurance Company

Product Name: 19-XS-2017438 (XS)

State: District of Columbia

TOI: 17.1 Other Liability-Occ Only

Sub-TOI: 17.1020 Commercial Umbrella and Excess

Filing Type: Form

Date Submitted: 12/11/2019

SERFF Tr Num: ACEH-132185742

SERFF Status: Submitted to State

State Tr Num:

State Status:

Co Tr Num: 19-XS-2017438 (XS)

Effective Date 05/01/2020

Requested (New):

Effective Date 05/01/2020

Requested (Renewal):

Author(s): James Leung

Reviewer(s):

Disposition Date:

Disposition Status:

Effective Date (New):

Effective Date (Renewal):

State: District of Columbia **First Filing Company:** Great Northern Insurance Company, ...
TOI/Sub-TOI: 17.1 Other Liability-Occ Only/17.1020 Commercial Umbrella and Excess
Product Name: 19-XS-2017438 (XS)
Project Name/Number: Financial Institution Endorsements /19-XS-2017438 (XS)

General Information

Project Name: Financial Institution Endorsements Status of Filing in Domicile:
Project Number: 19-XS-2017438 (XS) Domicile Status Comments:
Reference Organization: Reference Number:
Reference Title: Advisory Org. Circular:
Filing Status Changed: 12/11/2019
State Status Changed: Deemer Date:
Created By: James Leung Submitted By: James Leung
Corresponding Filing Tracking Number:

Filing Description:

We are submitting the following new or revised Endorsements for use with our Umbrella products. Where possible, we have described the changes to include the impact the change has on the scope of the provision or the scope of insurance provided. However, because it is impossible to anticipate every possible loss scenario that could implicate a change we have made, some changes can have a variety of effects (depending on the type of loss) and do not lend themselves to such a description. In those cases, we have simply described the change. We refer you to the applicable language of the provision in question for additional information. We also specify what provisions are new (both those that are included in a contract and those which are added by endorsement) and summarize the content of the new item.

07-02-0971 (Rev. 3-19) Financial Institution Activities Exclusion

This endorsement adds an exclusion to the contract, for activities of a financial institution that are “professional” in nature.

07-02-2686 (Ed. 5-19) Exclusion – Foreclosure and Related Liability

This endorsement adds an exclusion to the contract, for foreclosures and liability related to the foreclosure process.

07-02-2476 (Rev. 5-19) Exclusion – Trust

This endorsement adds an exclusion to the contract, for any property in any trust, guardianship, agency or estate for which the insured is acting in a fiduciary or representative capacity

07-02-2475 (Rev. 5-19) Exclusions – Leased Property

This endorsement adds an exclusion to the contract, for property which the insured owns and leases to others.

Company and Contact

Filing Contact Information

James Leung, Product Analyst James.Leung@Chubb.com
436 Walnut Street 215-640-4704 [Phone]
Philadelphia, PA 19106

State: District of Columbia **First Filing Company:** Great Northern Insurance Company, ...
TOI/Sub-TOI: 17.1 Other Liability-Occ Only/17.1020 Commercial Umbrella and Excess
Product Name: 19-XS-2017438 (XS)
Project Name/Number: Financial Institution Endorsements /19-XS-2017438 (XS)

Filing Company Information

Great Northern Insurance
Company
One American Square
202 N. Illinois St.
Suite 2600
Indianapolis, IN 46282
(908) 572-2000 ext. [Phone]

CoCode: 20303
Group Code: 626
Group Name: Chubb
FEIN Number: 41-0729473

State of Domicile: Indiana
Company Type: Stock
State ID Number:

Federal Insurance Company
One American Square
202 N. Illinois St.
Suite 2600
Indianapolis, IN 46282
(908) 572-2000 ext. [Phone]

CoCode: 20281
Group Code: 626
Group Name: Chubb
FEIN Number: 13-1963496

State of Domicile: Indiana
Company Type: Stock
State ID Number:

Pacific Indemnity Company
Two Plaza East
Suite 1450
330 East Kilbourn Avenue
Milwaukee, WI 53202-3146
(908) 572-2000 ext. [Phone]

CoCode: 20346
Group Code: 626
Group Name: Chubb
FEIN Number: 95-1078160

State of Domicile: Wisconsin
Company Type: Stock
State ID Number:

Filing Fees

Fee Required? No

Retaliatory? No

Fee Explanation:

State:	District of Columbia	First Filing Company:	Great Northern Insurance Company, ...
TOI/Sub-TOI:	17.1 Other Liability-Occ Only/17.1020 Commercial Umbrella and Excess		
Product Name:	19-XS-2017438 (XS)		
Project Name/Number:	Financial Institution Endorsements /19-XS-2017438 (XS)		

Form Schedule

Item No.	Schedule Item Status	Form Name	Form Number	Edition Date	Form Type	Form Action	Action Specific Data		Readability Score	Attachments
1		Financial Institution Activities Exclusion	07-02-0971	(Rev. 3-19)	END	Replaced	Previous Filing Number:	05-GL-11-F		07-02-0971_0319_Financial Institution Activities Exclusion.pdf
							Replaced Form Number:	07-02-0971 (Rev. 5-05)		
2		Foreclosure and Related Liability	07-02-2686	(Ed. 5-19)	END	New				07-02-2686_0519_Exclusion – Foreclosure and Related Liability.pdf
3		Exclusion – Trust	07-02-2476	(Ed. 5-19)	END	New				07-02-2476_0519_Exclusion – Trust.pdf
4		Exclusions – Leased Property	07-02-2475	(Ed. 5-19)	END	New				07-02-2475_0519_Exclusions – Leased Property.pdf

Form Type Legend:

ABE	Application/Binder/Enrollment	ADV	Advertising
BND	Bond	CER	Certificate
CNR	Canc/NonRen Notice	DEC	Declarations/Schedule
DSC	Disclosure/Notice	END	Endorsement/Amendment/Conditions
ERS	Election/Rejection/Supplemental Applications	OTH	Other

Chubb Commercial Excess Follow-Form Insurance

Endorsement

Policy Period

To

Effective Date

Policy Number

Insured

Name of Company

Date Issued

Under Exclusions, the following exclusion is added.

Exclusions

Financial Institution Activities

This insurance does not apply to any damages, loss, cost or expense arising out of the following:

- A. advising, inspecting, reporting or making recommendations as an investment advisor, financial advisor, mortgage servicing agent, economic advisor, insurance company, insurance broker or agent, insurance consultant or insurance representative, trust department or investment manager pursuant to a written contract.
- B. electronic data processing, system analysis, design, programming or consulting or other similar services.
- C. processing or servicing of any loan, lease or extension of credit including:
 - 1. the purchase, sale, participation in, application for, grant, commitment, restructure, termination or transfer thereof or any failure to do any of the foregoing;
 - 2. the repossession or foreclosure of collateral; or
 - 3. the handling of advisory or credit reports.
- D. any obligation assumed by any **insured** or the failure to discharge, or the improper discharge of, any obligation or duty, contractual or otherwise, with respect to any:
 - 1. contract or treaty of insurance, self-insurance, reinsurance or suretyship;
 - 2. annuity;
 - 3. endowment; or
 - 4. benefit plan,including any applications, endorsements, amendments, receipts or binders.
- E. investigating, defending or settling any claim under any contract of insurance, self-insurance, reinsurance or suretyship.

Exclusions

*Financial Institution
Activities
(continued)*

- F. membership in or contribution to or management of any plan, pool, association, insolvency or guarantee fund or any similar fund, organization or association whether voluntary or involuntary.

All other terms and conditions remain unchanged.

Authorized Representative

Date

Chubb Commercial Excess Follow-Form Insurance

Endorsement

Policy Period _____ *To* _____

Effective Date _____

Policy Number _____

Insured _____

Name of Company _____

Date Issued _____

Under Exclusions, the following exclusion is added.

Exclusions

Foreclosure And Related Liability This insurance does not apply to any damages, loss, cost or expense arising out of, or directly or indirectly related to, any property:

- A. to which you have perfected your right to possession;
- B. to which you have been given the deed or title;
- C. for which a judicial or other foreclosure proceeding has been initiated by you or on your behalf, but such foreclosure is not yet finalized;
- D. which you:
 - 1. possess; or
 - 2. have assumed care, custody or control over;
on behalf of a mortgagor with such mortgagor's agreement, assent or permission; or
- E. over which you otherwise assumed physical or financial control or exercised your rights to repossession;

because such property was security for a loan you owned or serviced.

All other terms and conditions remain unchanged.

Authorized Representative _____

Date _____

Chubb Commercial Excess Follow-Form Insurance

Endorsement

Policy Period

To

Effective Date

Policy Number

Insured

Name of Company

Date Issued

Under Exclusions, the following exclusion is added.

Exclusions

Trust

This insurance does not apply to any damages, loss, cost or expense arising out of, or directly or indirectly related to, any property in any trust, guardianship, agency or estate for which you are acting in a fiduciary or representative capacity.

All other terms and conditions remain unchanged.

Authorized Representative

Date

Chubb Commercial Excess Follow-Form Insurance

Endorsement

Policy Period _____ *To* _____

Effective Date _____

Policy Number _____

Insured _____

Name of Company _____

Date Issued _____

Under Exclusions, the following exclusion is added.

Exclusions

Leased Property

This insurance does not apply to any damages, loss, cost or expense arising out of, or directly or indirectly related to, any property which you own or lease to others.

This exclusion does not apply to property that is a building you own and lease to others.

All other terms and conditions remain unchanged.

Authorized Representative _____

Date _____

State:	District of Columbia	First Filing Company:	Great Northern Insurance Company, ...
TOI/Sub-TOI:	17.1 Other Liability-Occ Only/17.1020 Commercial Umbrella and Excess		
Product Name:	19-XS-2017438 (XS)		
Project Name/Number:	Financial Institution Endorsements /19-XS-2017438 (XS)		

Supporting Document Schedules

Bypassed - Item:	Readability Certificate
Bypass Reason:	N/A
Attachment(s):	
Item Status:	
Status Date:	

Bypassed - Item:	Consulting Authorization
Bypass Reason:	N/A
Attachment(s):	
Item Status:	
Status Date:	

Bypassed - Item:	Copy of Trust Agreement
Bypass Reason:	N/A
Attachment(s):	
Item Status:	
Status Date:	

Bypassed - Item:	Expedited SERFF Filing Transmittal Form
Bypass Reason:	N/A
Attachment(s):	
Item Status:	
Status Date:	

Satisfied - Item:	Redline
Comments:	
Attachment(s):	07-02-0971.pdf
Item Status:	
Status Date:	

Chubb Commercial Excess Follow-Form Insurance

Endorsement

Policy Period

To

Effective Date

Policy Number

Insured

Name of Company

Date Issued

Under Exclusions, the following exclusion is added.

Exclusions

Financial Institution Activities

This insurance does not apply to any damages, loss, cost or expense arising out of the following:

- A. ~~A.~~—advising, inspecting, reporting or making recommendations as an investment advisor, financial advisor, mortgage servicing agent, economic advisor, insurance company, [insurance broker or agent, insurance consultant or insurance representative](#), trust department or investment manager pursuant to a written contract.
- B. electronic data processing, system analysis, design, programming or consulting or other similar services.
- C. processing or servicing of any loan, lease or extension of credit including:
 - 1. the purchase, sale, participation in, application for, grant, commitment, restructure, termination or transfer thereof or any failure to do any of the foregoing;
 - 2. the repossession or foreclosure of collateral; or
 - 3. the handling of advisory or credit reports.
- D. any obligation assumed by any **insured** or the failure to discharge, or the improper discharge of, any obligation or duty, contractual or otherwise, with respect to any:
 - 1. contract or treaty of insurance, self-insurance, reinsurance or suretyship;
 - 2. annuity;
 - 3. endowment; or
 - 4. benefit plan,including any applications, endorsements, amendments, receipts or binders.
- E. investigating, defending or settling any claim under any contract of insurance, self-insurance, reinsurance or suretyship.

Exclusions

Financial
Institution
Activities
(continued)

F. ~~F.~~ membership in or contribution to or management of any plan, pool, association, insolvency or guarantee fund or any similar fund, organization or association whether voluntary or involuntary.

All other terms and conditions remain unchanged.

Authorized Representative

Date